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Board of Regents University of Alaska

Compliance and Other Matters

As part of obtaining reasonable assurance about whether University of Alaska's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those



INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM, REPORT ON INTERNAL CONTROL OVER COMPLIANCE, AND REPORT ON THE SCHEDULE OF EXPENDITURES AND FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE

Board of Regents University of Alaska Fairbanks, Alaska

Report on Compliance for Each Major Federal Program

We have audited University of Alaska's, a component unit of the State of Alaska, compliance with the types of compliance requirements described in the OMB Compliance Supplement that could have a direct and material effect on each of University of Alaska's major federal programs for the year ended June 30, 2021. University of Alaska's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditors'Responsibility

Our responsibility is to express an opinion on compliance for each of University of Alaska's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about University of Alaska's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of University of Alaska's compliance.

Opinion on Each Major Federal Program

In our opinion, University of Alaska complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2021.



Board of Regents University of Alaska

Other Matters

Board of Regents University of Alaska

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of the business-type activities and the aggregate discretely presented component unit of University of Alaska as of and for the year ended June 30, 2021, and the related notes to the financial statements, which collectively comprise University of Alaska's basic financial statements. We issued our report thereon dated October 22, 2021, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

CliftonLarsonAllen LLP

Saint Louis, Missouri February 16, 2022

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LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
	*	*HRVFLHQFHV		
'LUHFW				
3HQQV\OYDQLD 6WDWH 8QLYHUVLW\ 7KH		*HRVFLHQ E (\$)V16)		
LUHFW		*HRVFLHQFHV		
LUHFW	_	*HRVFLHQFHV		
LUHFW	_	*HRVFLHQFHV		
LUHFW		*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	* H R V F L H Q F H V		
'LUHFW	*	* H R V F L H Q F H V		
'LUHFW	*	* H R V F L H Q F H V		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	* H R V F L H Q F H V		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
8QLYHUVLW\ RI :\RPLQJ		* H & \$\/) F L H3 Q2 F BI V		
&RUQHOO 8QLYHUVLW\		68%*\$H\$R5/FLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	* H R V F L H Q F H V		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
2UHJRQ 6WDWH 8QLYHUVLW\		6*8H%R\$%:1515HQ16HV & %		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	_	*HRVFLHQFHV		
LUHFW		*HRVFLHQFHV		
LUHFW		*HRVFLHQFHV		
LUHFW		*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
'LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
LUHFW	*	*HRVFLHQFHV		
\$UFWLF 5HVHDUFK &RQVRUWLXP RI WKH 8 6	\$5&86	*HRVFLHQ\$F5H&V86 16) ,'		
	φυαου *	*HRVFLHQFHV		
'LUHFW	*			
'LUHFW	-	*HRVFLHQFHV		
11 11 11 E W				
'LUHFW 'LUHFW	*	*HRVFLHQFHV *HRVFLHQFHV		

		PEHU RU 3DVV GHQWLI\LQJ	\$PRXQWV 3DVVHG WKURXJK WR 7RWDO)HGHL
UDO *UDQWRU &OXVWHU 7LWOH 'LUHFW\$\$ZDI			6XEUHFLSLHQM/S/HQGLWXUH
'LUHFW	*	*HRVFLHQFHV	
LUHFW	*	*HRVFLHQFHV	
'LUHFW 'LUHFW	*	*HRVFLHQFHV *HRVFLHQFHV	
LUHFW	*	*HRVFLHQFHV	
'LUHFW	*	*HRVFLHQFHV	
'LUHFW	*	*HRVFLHQFHV	
:RRGZHOO &OLPDWH 5HVHDUFK &HQWHU		* H R V F2L2H Q(F/H % *	
'LUHFW	*	*HRVFLHQFHV	
LUHFW	*	*HRVFLHQFHV	
8QLYHUVLW\ RI &RORUDGR 'LUHFW	*	*HRVFLHQFHV *HRVFLHQFHV	
'LUHFW	*	&RPSXWHU DQG ,QIRUP	DWLRQ 6FLHQFH DQG (QJLQHHUL
LUHFW	*	· · · · · · · · · · · · · · · · · · ·	DWLRQ 6FLHQFH DQG (QJLQHHUL
LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	*	%LRORJLFDO 6FLHQFHV	
'LUHFW 'LUHFW	*	%LRORJLFDO 6FLHQFH\ %LRORJLFDO 6FLHQFH\	
'LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	*	%LRORJLFDO 6FLHQFHV	1
'LUHFW	*	%LRORJLFDO 6FLHQFHV	1
'LUHFW	*	%LRORJLFDO 6FLHQFHV	
'LUHFW	*	%LRORJLFDO 6FLHQFH\	
8QLYHUVLW\ RI \$ODEDPD %LUPLQJKDP		% L R 8 9 R \$ L F 5 D O1 26 F L H Q F H V	
LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	•	%LRORJLFDO 6FLHQFH\	
'LUHFW 'LUHFW	*	%LRORJLFDO 6FLHQFH\ %LRORJLFDO 6FLHQFH\	
'LUHFW	*	%LRORJLFDO 6FLHQFHV	
LUHFW	*		DQG (FRQRPLF 6FLHQFHV
LUHFW	*		DQG (FRQRPLF 6FLHQFHV
LUHFW	*		DQG (FRQRPLF 6FLHQFHV
LUHFW	*		DQG (FRQRPLF 6FLHQFHV
LUHFW	*	(GXFDWLRQ DQG +XPDG	
'LUHFW	*	(GXFDWLRQ DQG +XPDC	5HVRXUFHV
'LUHFW	*	(GXFDWLRQ DQG +XPDC	5HVRXUFHV
'LUHFW	*	(GXFDWLRQ DQG +XPDC	
'LUHFW	*	(GXFDWLRQ DQG +XPDC	
'LUHFW	*	(GXFDWLRQ DQG +XPDC	
LUHFW	*	(GXFDWLRQ DQG +XPDC	
LUHFW	*	(GXFDWLRQ DQG +XPDG	
LUHFW	*	(GXFDWLRQ DQG +XPDC	
LUHFW	*	(GXFDWLRQ DQG +XPDC	
'LUHFW +RIVWUD 8QLYHUVLW\	•	(GXFDWLRQ DQG +XPDC 68%\$'.9\$X6FD'WL8.\$D\$DQG +XPDC	
'LUHFW	*	(GXFDWLRQ DQG +XPDC	
'LUHFW	*	(GXFDWLRQ DQG +XFDG	
LUHFW	*	(GXFDWLRQ DQG +XPDG	
LUHFW	*	(GXFDWLRQ DQG +XPDG	
LUHFW	*	(GXFDWLRQ DQG +XPDG	
LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
\$UFWLF 5HVHDUFK &RQVRUWLXP RI WKH	8 6 \$5&86	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
LUHFW	*	3RODU 3URJUDPV	
LUHFW	*	3RODU 3URJUDPV	
LUHFW	*	3RODU 3URJUDPV	
LUHFW	*	3RODU 3URJUDPV	
'LUHFW 'LUHFW	*	3RODU 3URJUDPV 3RODU 3URJUDPV	
	*		
		3RODU 3URJUDPV	
'LUHFW 'LUHFW	*	3RODU 3URJUDPV	

-	*UDQW 1XPE		\$PRXQWV 3DVVHG
	7KURXJK ,GH		WKURXJK WR 7RWDO)HGHUDO
)HGHUDO *UDQWRU &OXVWHU 7LWOH 'LUHFW\$\$	SZDIXIBERHUXBBHV 7KU F	RXJK (QSWLRW)UDP 7LWOH	6XEUHFLSLHQM/SHQGLWXUHV
'LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
'LUHFW	*	3RODU 3URJUDPV	
\$UFWLF 5HVHDUFK &RQVRUWLXP RI W	KH 8 6 \$5&86	3 R O D U 3 U2R3J'WL D EJ VF	
\$ODVND 1DWLYH 7ULEDO +HDOWK &RC	VRUWLXP	3RODU 3URJ&UDPV	
'LUHFW	*	3RODU 3URJUDPV	
8QLYHUVLW\ RI 6RXWKHUQ &DOLIRUQI	_ D	211LFH RI ,QWBDQDWLRQD	O 6FLHQFH DQG (QJLQHHULQJ
LUHFW	*	211LFH RI ,QWHUQDWLRQD	O 6FLHQFH DQG (QJLQHHULQJ
*HRUJH :DVKLQJWRQ 8QLYHUVLW\		,QW6HJUDWLYH \$FWLYLWLF	· ·
LUHFW	*	,QWHJUDWLYH \$FWLYLWLF	
LUHFW	*	QWHJUDWLYH \$FWLYLWLF	
LUHFW	*	QWHJUDWLYH \$FWLYLWLF	
LUHFW	*	QWHJUDWLYH \$FWLYLWLF	
LUHFW	*	QWHJUDWLYH \$FWLYLWLF	
LUHFW	*	QWHJUDWLYH \$FWLYLWLF	
LUHFW	*	,QWHJUDWLYH \$FWLYLWLH	
LUHFW	*	QWHJUDWLYH \$FWLYLWLF	
LUHFW	*	QWHJUDWLYH \$FWLYLWLF	
6RXWK 'DNRWD 6FKRRO RI 0LQHV 7H	FKOROR.6\'607 8\$\$	QWHJUDWLYH \$FWLYLWLF	
National Science Foundation Total		,	1,340,366 41,912,792
(QYLURQPHQWDO 3URWHFWLRQ \$JHQF			
\$. 'HSDUWPHQW RI (QYLURQPHQWDO 8		%DVHOLQH &KHPLVWU\ RI	WKH EDOEKD ELVHII
'HSW RI (QYLURQPHQWDO &RQVHUYD'		&RQJUHVVLRQDOO\ 0DQGE	
LUHFW	WLKQ \$1		
\$. 'HSDUWPHQW RI (QYLURQPHQWDO 8	P D O VIIII VAN MVI D O	3HUIRUPDQFH 3DUWQHUV	UDP 'HYHORSPHQW *UDQWV
5. HSDOWPHQW KI (QILUKQPHQWDO 8	k R Q V H U T3D W L R Q		
'LUHFW		3URJUDP DQG 5HODWHG \$	WLRQ ([FKDQJH 1HWZRUN *UDQW
Environmental Protection Agency Total	-	30KJUDP DQG SHODWHG \$	
Environmental Protection Agency Total			13,472 116,506
'HSDUWPHQW RI (QHUJ			
			VRFNV LQ LFH ZHGJH SRO\JRQV
\$UJRQQH 1DWLRQDO /DE)	% D U U R Z	
,GDKR 1DWLRQDO /DERUDWRU\	67\$1'\$5' 5(6	(\$5&+ &	

		EHU RU 3DVV	\$PRXQWV 3I	
	7KURXJK,0	GHQWLI\LQJ	WKURXJK WR	7RWDO)HGHU
DO *UDQWRU &OXVWHU 7LWOH 'LUHFW\$\$2DW6ER	HUXBBWV 7K	URXJK (Q3MLRW\UDP 7LWOH	6XEUHFLSLH	Q (////S/HQGLW X U H V
		1XFOHDU (QHUJ\ 5HVHDUF	K 'HYHORSPHQ	W DQG
\$UJRQQH 1DWLRQDO /DERUDWRU\	12)	'HPRQVWUDWLRQ		
'LUHFW	*	\$GYDQFHG 5HVHDUFK 3UR	MHFWV \$JHQF\	(QHUJ\
:RRGV +ROH 2FHDQRJUDSKLF ,QVWLWXWLRQ	68% \$	31 \$GYDQFHG 5HVHDUFK 3UR	MHFWV \$JHQF\	(QHUJ\
8QLYHUVLW\ RI 0LQQHVRWD		\$\$GYDQFHG 5HVHDUFK 3UR	MHFWV \$JHQF\	(QHUJ\
'LUHFW	*	\$GYDQFHG 5HVHDUFK 3UR	MHFWV \$JHQF\	(QHUJ\
LUHFW	*	\$GYDQFHG 5HVHDUFK 3UR	MHFWV \$JHQF\	(QHUJ\
'LUHFW	*	\$GYDQFHG 5HVHDUFK 3UR	MHFWV \$JHQF\	(QHUJ\
Department of Energy Total			2,556,50	8,023,882
'HSDUWPHQW RI (GXFDWLRQ				
		(GXFDWLRQ,QQRYDWLRQ	DQG 5HVHDUFK	IRUPHUO\
'LUHFW	*	,QYHVWLQJ LQ ,QQRYDWLF	RQ L)XQG	
Department of Education Total			-	91,541
'HSDUWPHQW RI +HDOWK DQG +XPDQ 6HUYLF	ΗV			
ORXQW 6LQDL 6FKRRO RI 0HGLFLQH		++61 & &2\$		

8QLYHUVLW\ RI \$ODVND 6FKHGXOH RI ([SHQGLWXUHV RI)HGHUDO \$ZDUGV <HDU (QGHG RI -XQH

'I UHFW

*UDQW 1XPEHU RU 3DVV 7KURXJK ,GHQWLI\LQJ)HGHUDO *UDQWRU &OXVWHU 7LWOH 'LUHFW\$**\$ZDW&BEHUW BBHW** 7KURXJK (Q**SWLR**W)UDP 7LWOH

\$PRXQWV 3DVVHG WKURXJK WR 7RWDO)HGHUDO 6XEUHFLSLHQMSHQGLWXUHV

8QLYHUVLW\ &HQWHUV IRU ([FHOOHQFH LQ 'HYHORSPHQWDO 8QLYHUVLW\ RI .HQWXFN\ 02"LVDELOLWLHV (GXFDWLRQ 5HVHDUFK DQG 6HUYLFH 8QLYHUVLW\ &HQWHUV IRU ([FHOOHQFH LQ 'HYHORSPHQWDO 32 LVDELOLWLHV (GXFDWLRQ 5HVHDUFK DQG 6HUYLFH 8QLYHUVLW\ RI .HQWXFN\ \$ 66 R % \%\:L\$R\$ Q *\\$5 V V L V W D Q F H 8QLYHUVLW\ RI 1HYDGD /DV 9HJDV 1DWLRQDO -HZLVK +HDOWK 1DWLRQDO -HZLVK +HDOWK 'LDEHWHV 'LJHVWLYH DQG .LGQH\ 'LVHDVHV ([WUDPXUDO 'LUHFW 5 H V H D U F K 'LDEHWHV 'LJHVWLYH DQG .LGQH\ 'LVHDVHV ([WUDPXUDO 'LUHFW 5 H V H D U F K LDEHWHV 'LJHVWLYH DQG .LGQH\ 'LVHDVHV ([WUDPXUDO 'LUHFW 5HVHDUFK 'LDEHWHV 'LJHVWLYH DQG .LGQH\ 'LVHDVHV ([WUDPXUDO 5HVHDUFKB8\$) 2UHJRQ +HDOWK 6FLHQFH 8QLYHUVLW\ 'LUHFW \$OOHUJ\ DQG ,QIHFWLRXV 'LVHDVHV 5HVHDUFK 'LUHFW %LRPHGLFDO 5HVHDUFK DQG 5HVHDUFK 7UDLQLQJ 'LUHFW %LRPHGLFDO 5HVHDUFK DQG 5HVHDUFK 7UDLQLQJ 'LUHFW %LRPHGLFDO 5HVHDUFK DQG 5HVHDUFK 7UDLQLQJ 1RUWKZHVW ,QGLDQ &ROOHJH % & % \$ H\$ (5 L F1 12 O 15 H&V 16 15 U F K D Q G 5 H V H D U F K 7 U D L Q L Q J 5HVHDUFK)RXQGDWLRQ 681< 68%LRPHGLFDO\$9H1VHDUFK DQG 5HVHDUFK 7UDLQLQJ 8QLYHUVLW\ RI :DVKLQJWRQ 8 % & RPHGL % 1920 5 HVHDUFK DQG 5 HVHDUFK 7 UDLQLQJ % L)R B HGLFD D '5 HVHDUFK DQG 5 HVHDUFK 7 UDLQLQJ 8QLYHUVLW\ RI :LVFRQVLQ 2VKNRVK ORQWDQD 6WDWH 8QLYHUVLW\ %LRPHGLFDO 5HVHDUFK DQG 5HVHDUFK 7UDLQLQJ

001

8QLYHUVLW\ RI \$ODVND 6FKHGXOH RI ([SHQGLWXUHV RI)HGHUDO \$ZDUGV <HDU (QGHG RI -XQH

*UDQW 1XPEHU RU 3DVV

\$/1 1XPEHU

		*UDQW 1XPEHU RU 3DVV 7KURXJK ,GHQWLI\LQJ				\$PRXQWV 3DVVHG WKURXJK WR 7RWDO)HGHUDO				
)HGHUDO *UDQWRU &OXVWHU 7LWOH 'L					UDP 71	WOH				кwbo)нGнbb §HQGLWXUHV
LUHFW	OTT WWWZDWOLK						VLRQ	6HUYLFH	LOLITQUE	SHQUEWXOHV
	10.500 Total					(15,137	2,390,398
'LUHFW		*		([SDQGF	IG)RRG	G DQG	1 X W U L	WLRQ (G)	(FDWLRC	3 URJUDP
	10.514 Total								-	252,149
								/HQVLRQ	\$FW DQC	3 1DWLRQDO
'LUHFW	10.515 Total	•)RFXV)	XQG 3U	RWHFV	/ V			27.362
'LUHFW	10.515 Total	*		1H7 %H	11 0 0 1 0	LIV IRII	7111 F	OO 6WXGH	- I O W V	21,302
20111 11	10.527 Total			7011	JLWWLW		, , ,	o o wao i	-	5,939
1DWLRQDO)LVK :LOGOLIH)R)				1 D W L R Q	DO)LV	K (D2Q G	:LOGC	LIH)RXQ	GDWLRQ	
	10.683 Total								-	42,502
						UQLQJ	DQG 7	HOHPHGL	FLQH /R	DQV DQG
'LUHFW		*		* U D Q W V						
'LUHFW	10.855 Total			6RLO DO	D.W.I			DWI DO	-	28,527
LOHFW	10.902 Total			OKLO DO	ו אים. טג	nu akt	VUOI	DWLKQ	_	3,301
Department of Agriculture Total	10.502 10101								15,137	2,912,236
'HSDUWPHQW RI &RPPHUF				(EBOBB					• • • • • • • • • • • • • • • • • • • •	, D O E !!
LUHFW		<pre>* (FRQRPLF 'HYHORSPHQW 7HFKQLFDO \$\</pre>								
LUHFW	11.303 Total			(FRQRP	LF NIN	10 K 5 P F	IQW /F	IFKQLFDO	\$ V V L V V	343,073
	11.505 Total			0 H W H R L	RORJLI	F DQG	+\GUR	ORJLF 0R	G H U Q L 1 E	,
'HSDUWPHQW RI OLOLWDU\ DQO	3 9HWHUDQV \$II	DLUV		'HYHOR						
				0 H W H R U	RORJLI	F DQG	+ \ G U R	ORJLF 0R	G H U Q L] [WLRQ
'HSDUWPHQW RI OLOLWDU\ DQ(DLUV	'09\$	'HYHOR	SPHQW1	2 \$ \$ * <				
	11.467 Total								-	264,778
'LUHFW		*		ODQXID	FWXUL	QJ ([W	HQVLR	Q 3DUWQ	HUVKLS	
'LUHFW		*		&29,'	0 D Q X	IDFWX	JLQJ ([WHQVLRO	3 DUWQ	HUVKLS
	11.611 Total						`	•	-	790,931
Department of Commerce Total									-	1,398,782
'HSDUWPHQW RI 'HIHQV										
HODOWN HQVIII KI IIII QV				3 U R F X U	HPHQW	7 H F K C	LEDO	\$VVLVWD	OFH)RU	%XVLQHVV
'LUHFW	:	•)LUPV					Q , o	707. T = Q T
				3 U R F X U	HPHQW	7 H F K C	LFDO	\$ V V L V W D	QFH)RU	%XVLQHVV
'LUHFW		*) L U P V						
					HPHQW	7 H F K C	LFDO	\$VVLVWD	QFH)RU	% X V L Q H V V
'LUHFW		*) L U P V						
	12.002 Total					8P		11.611 3TJ 08-	-	610,899 790,931
LUHFW		*				or		11.011 313 06-		1 50,331
20111 11										

DO +11DOWD11 & O.V.V.W111 71 WO11 11 1115	*UDQW 1XPEHU RU 3DVV 7KURXJK ,GHQWLI\LQJ V\$\$2DIX@ER1DXBBHV 7KURXJK (Q3WLRW\UDP 7LWOH			\$PRXQWV 3DVVHG WKURXJK WR 7RWDO)HGHUD 6XEUHFLSLHQM/S/HQGLWXUHV		
LUHFW	M D D T D T T B EH	TOWN BOWN / KURXJ	OLQH +HDOWK DQG 6DIHW		ysnugewx on\	
LUHFW	17.600 Total	-	OLQH +HDOWK DQG 6DIHW\	UDQWV	162.723	
Department of Labor Total	17.000 Total			-	162,723	
Department of Labor Total				-	102,723	
'HSDUWPHQW RI 6WDWH						
8QLYHUVLW\ RI 8WDK		68%	(QHUJ\ *BYHBUQDQFH DQG 5	HIRUP 3URJUDPV	1	
	19.027 Total			-	37,020	
'LUHFW		*	\$((&\$ (6) 3' 3URJUDPV			
	19.900 Total			-	171,439	
Department of State Total				-	208,459	
'HSDUWPHQW\RI 7UHDVXU						
'LYLVLRQ RI %HKDYLRUDO +HDOWK		\$ ' 1	&29,' &RURQDYLUXV 5HC	OLHL)XQG		
)DLUEDQNV 1RUWK 6WDU %RURXJK		3	&29,' &RURQDYLUXV 5HO			
, 52525 QHV TROWN OW 50 MRONXON	21.019 Total	•			2.140.467	
Department of Treasury Total				-	2,140,467	
,					_, ,	
,QVWLWXWH RI 0XVHXP DQG /LEUDI	U\ 6HUYLF	+				
'LUHFW		*	.REXN 5LYHU &ROOHFWLRQ	5HKRXVLQJ DQG	6 W D E L O L 1 D V	
201111	45.000 Total			-	58,093	
Institute of Museum and Library Services Total				-	58,093	
1DWLRQDO (QGRZPHQW IRU WKH +X	(PDQLWLH)	/				
			3URPRWLRQ RI WKH +XPDQI	_WLHV)HGHUDO	6 W D W H	
\$ODVND +XPDQLWLHV)RUXP		0	3DUW002HUVKLS			
	45.129 Total			·	1,018	
			3URPRWLRQ RI WKH +XPDQI	_WQLHV 'LYLVLRQ	RI 3UHVHUYE	
'LUHFW		*	DQG \$FFHVV			
N. S. 18 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	45.149 Total			-	146,444	
National Endowment for the Humanities Total				-	147,462	
6PDOO %XVLQHVV \$GPLQLVWUDWLR	3					
'LUHFW		*	6PDOO %XVLQHVV 'HYHORS	PHQW &HQWHUV		
'LUHFW		*	6PDOO %XVLQHVV 'HYHORS	PHQW &HQWHUV		
			* 20 L CRROO W VVI OUVV	HIVII O D C D II O W 1	2110.W11111	
'LUHFW	E0 007 Tetal	=	&29,' 6PDOO %XVLQHVV	HINUKSPHQW 8		
'I II H E W	59.037 Total	*	3D\FKHFN 3URWHFWLRQ /RD	- OQ 3URJUDP 333	1,648,558	
'LUHFW	59.073 Total	=	SUTENDEN SUKWHEWERQ /RE	U 3 U K J U D P 333	467,359	
Small Business Administration Total	59.073 TOTAL			-	467,359 2,115,917	
(QYLURQPHQWDO 3URWHFWLRQ \$JH				0.141.4		
\$ODVND 'HSDUWPHQW RI 1DWXUDO		V	6WDWH ,QGRRU 5DGRQ *UD	Q W V	0.005	
H IIII E W	66.032 Total		/OVI !!BOB!!O!!!BO /OVEE	-	3,288	
'LUHFW	00 0F4 T-4-1	•	(QYLURQPHQWDO (GXFDWLF			
	66.951 Total			4,145		

	* U D Q W	IXPEHU RU 3DVV	\$PRXQWV 3DV\	\$PRXQWV 3DVVHG		
	7 K U R X J I	K ,GHQWLI\LQJ	WKURXJK WR 7	RWDO) HGHUD		
HUDO *UDQWRU &OXVWHU 7LWOH 'LUH	FW\$\$2DIXBERHUXBBHV	7KURXJK (QSWLRW\UDP 7LWOH	6XEUHFLSLHQ // /	SHQGLWXUHV		
	93.879 Total		-	32,051		
		1DWLRQDO %LRWHUURU	LVP +RVSLWDO 3UHS	DUHGQHVV		
'LYLVLRQ RI 3XEOLF +HDOWK	\$ ' 1	3 U R J U D P				
		1DWLRQDO %LRWHUURU	LVP +RVSLWDO 3UHS	DUHGQHVV		
'LYLVLRQ RI 3XEOLF +HDOWK	\$ ' 1	3 U R J U D P				
	93.889 Total		-	207,376		
Department of Health and Human Services Total			595,062	3,611,865		
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		6RFLDO 6HFXULW\ :RUI	N ,QFHQWLYHV 3ODQ	QLQJ DQG		
'LUHFW	*	\$VVLVWDQFH 3URJUDP				
	96.008 Total		-	71,942		

8QLYHUVLW\ RI \$ODVND 1RWHV WR 6FKHGXOH RI ([SHQGLWXUHV RI)HGHUDO \$ZDUGV <HDU (QGHG -XQH

7KH LQIRUPDWLRQ LQ WKLV VFKHGXOH LV SUHVHQWHG LQ DFFRUCONDOCOMFAHdmandishtokkvevReequiteehherios, Lodeth PHQW V Principles, and Audit Requirements for Federal Awards KH & QLYHUVLW\ KDV HOHFWHG QRW WR XVH WKH SHUFHQW GH F & QLIRUP *XLGDQFH 7KHUHIRUH DPRXQWV SUHVHQWHG LQ WKLV VFKHGXOH PD\ GLIIHU IURP DPRXQV VWDWHPHQWV) HGHUDO SURJUDP WLWOHV DUH UHSRUWHG DV SUHVHQWHG LQ WKH & DWDORJ RI) LGHQWLI\LQJ DQG JUDQW QXPEHUV DUH SUHVHQWHG ZKHUH DYDLODEOH

)HGHUDO 'LUHFW 6WXGHQW /RDQV \$/1
7KH 8QLYHUVLW\LV UHVSRQVLEOH IRU WKH SHUIRUPDQFH RI FHUWDLQ DGPLQLVWUDWLYH GXWLHV
WKLV SURJUDP DUH QRW LQFOXGHG LQ WKH 8QLYHUVLW\ V EDVLF ILQDQFLDO VWDWHPHQWV /RDQ
HQGHG -XQH DUH VXPPDUL]HG DV IROORZV QĐ

\$PRXQWV 'LVEXUVHG

'LUHFW 6XEVLGL]HG /RDQ

'LUHFW 8QVXEVLGL]HG /RDQ

'LUHFW 3/86 /RDQ

UNIVERSITY OF ALASKA

Section II -Financial Statement Findings

<u> 2021 – 001</u>

Type of Finding: Significant Deficiency in Internal Control over Financial Reporting

Condition: The University of Alaska (the University) has not complied with University policies and internal controls over procurement card transactions.

Criteria or specific requirement:

Section III -Findings and Questioned Costs -Major Federal Programs

2021 - 002

Federal Agency: U.S. Department of Education

Federal Program Title: Education Stabilization Fund

Assistance Listing Number: 84.425F – HEERF Institutional Portion

Award Period: July 1, 2020 to June 30, 2021

Type of Finding:

x Compliance, Other Matter

x Significant Deficiency in Internal Control over Compliance

Criteria or specific requirement: Per Uniform Guidance 2 CFR 200.303, nonfederal entities receiving federal awards are required to establish and maintain internal controls designed to reasonably ensure compliance with federal laws, regulations and program compliance requirements. The grant allows institutions to claim lost amounts for estimated lost revenue to the school.

Condition: We observed the lost revenue calculation had included \$54,864 disallowed interdepartmental revenue.

Questioned costs: \$54,964

Context: The University of Alaska Southeast had performed year-over-year variance analysis to calculate lost revenue. The variance had included interdepartmental charges that had a net \$-0- impact on the University's revenue.

Cause: The University of Alaska Southeast had not considered the possibility that interdepartmental transactions could be disallowed. Due to a lack of authoritative guidance at the time, the campus relied on the Frequently Asked Questions (FAQs) to determine allowability which made no mention of lost revenue related to interdepartmental transactions.

Effect: Revenues are reimbursed by federal funds for which there is no true revenue loss.

Repeat Finding: No

Recommendation: We recommend the University of Alaska Southeast exclu.153 Tot(r1 n (die)T*001 1 1

Section III -Findings and Questioned Costs -Major Federal Programs (Continued)

2021 - 004

Federal Agency: U.S. Department of Education

Federal Program Title: Education Stabilization Fund

Assistance Listing Number: 84.425F – HEERF Institutional Portion

Award Period: July 1, 2020 to June 30, 2021

Type of Finding:

x Compliance, Other Matter

x Significant Deficiency in Internal Control over Compliance

Criteria or specific requirement: Per Uniform Guidance 2 CFR 200.303, nonfederal entities receiving federal awards are required to establish and maintain internal controls designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. In addition, a separate form must be posted covering aggregate amounts spent for HEERF I, HEERF II, and HEERF III funds each quarterly reporting period (September 30, December 31, March 31, June 30), no later than 10 days after the end of each calendar quarter under Section 18004(a)(1) of the CARES Act.

Condition and Context: During the testing of the University of Alaska Fairbanks (UAF) Reports, it was observed that the March 31, 2021 Institutional Report was submitted 3 days late, beyond the April 10, 2021 due date. Additionally, UAF was unable to provide documentation of the two institutional reports tested. We also observed there was no documentation to support the amount reported in attribute 7.1.1 on the annual report.tothedfilest:

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UNIVERSITY OF ALASKA SUMMARY SCHEDULE OF PRIOR YEAR FINDINGS FOR THE YEAR ENDED JUNE 30, 2021

Section IV -Prior Year Findings

FINDINGS—FINANCIAL STATEMENT AUDIT

2020 - 001 Review of Procurement Card Activity

Condition: We observed 4 transactions out of 49 tested were not approved by the authorized official within the 45-day threshold stated in University policy.

Status: The University had several instances of procurement card activity that was not approved within the 45-day threshold. Corrected.

Corrective Action: The University has implemented an electronic sign off system. When the procurement card statements are reviewed and signed off, these signed off statements are transferred to the central repository. An audit will be conducted at the central repository to ensure the statements are reviewed in a timely manner.

UNIVERSITY OF ALASKA SUMMARY SCHEDULE OF PRIOR YEAR FINDINGS FOR THE YEAR ENDED JUNE 30, 2021

FINDINGS— FEDERAL AWARD PROGRAMS AUDITS

2020 - 002 COD Reporting

Condition: We noted 2 out of 20 COD disbursements tested, were not reported within the required 15