

UNIVERSITY OF ALASKA

(A Component Unit of the State of Alaska)

Audit in Accordance with OMB A-133

Year Ended June 30, 2009

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Audit in Accordance with OMB A-133
June 30, 2009

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**Independent Auditors' Report on Compliance with Requirements Applicable
to Each Major Program, Internal Control over Compliance and Supplementary**

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University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	
STUDENT FINANCIAL AID		
Department of Education		
84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		\$ 17,500
Office of Student Financial Assistance Programs		
84.007 Federal Supplemental Educational Opportunity Grants		643,246
84.032 Federal Family Education Loans		53,882,560
84.033 Federal Work - Study Program		526,871
84.063 Federal Pell Grant Program		11,184,180
84.268 Federal Direct Student Loans		46,500
Office of Postsecondary Education		
84.375 Academic Competitiveness Grants		72,870
84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants		260,828
TOTAL STUDENT FINANCIAL AID		66,634,555
RESEARCH AND DEVELOPMENT		
Department of Agriculture		
10.000 Miscellaneous		369,749
Pass-through The Nature Conservancy	SA# TNC/AKNHP_LDFIRE_2007	47,657
<u>Agricultural Research Service</u>		
10.001 Agricultural Research Basic and Applied Research		1,163,471
<u>Animal and Plant Health Inspection Service</u>		
10.025 Plant and Animal Disease, Pest Control, and Animal Care		68,494
<u>Cooperative State Research, Education, and Extension Service</u>		
10.200 Grants for Agricultural Research, Special Research Grants		3,154,199
Pass-through Utah State University	061553007	29,939
10.202 Cooperative Forestry Research		817,566
10.203 Payments to Agricultural Experiment Stations Under the Hatch Act		958,630
10.206 Grants for Agricultural Research - Competitive Research Grants		400,033
10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants		150,773
10.500 Cooperative Extension Service		106,674
<u>Farm Service Agency</u>		

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>National Oceanic and Atmospheric Administration</u>			
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) Pass-through State of Alaska	RS 1195092	\$ 12,031
11.417	Sea Grant Support Pass-through Texas A&M University at Galveston	AGREEMENT S080017 AMNDDMT 1 11.497	2,075,422

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

FEDERAL PROGRAM TITLE

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 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Office of the Secretary of Defense</u>			
12.630	Basic, Applied, and Advanced Research in Science and Engineering		\$ 501,629
<u>Department of the Air Force</u>			
Material Command			
12.800	Air Force Defense Research Sciences Program		218,249
	Pass-through New Mexico State University	SUB Q01193	(4,234)
<u>Advanced Research Projects Agency</u>			
12.910	Research and Technology Development		1,887,160
Total Department of Defense			7,963,848
 Department of the Interior			
15.000	Miscellaneous		1,150,384
	Pass-through Bering Sea Fisherman's Association	AC-299	(3,313)
	Pass-through Hoonah Indian Association	MOA DATED 8-21-07	38,327
	Pass-through National Fish & Wildlife Foundation	2005-0011-036	7,886
	Pass-through Rutgers	PO#S953776 0000326714-21833	49,490
	Pass-through State of Alaska	1195128	1,242
	Pass-through State of Alaska	ADN#1078006/45-7-0805	(476)
	Pass-through State of Alaska	EN 1195139	1,529
	Pass-through State of Alaska	RSA1195126	46,1nETQ1 Tf239

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
15.811	Gap Analysis Program		\$ 97,939
15.812	Cooperative Research Units Program		1,025,341
<u>National Park Service</u>			
15.904	Historic Preservation Fund Grants-In-Aid		36,265
Total Department of the Interior			6,900,291
Department of Justice			
16.000	Miscellaneous		(2,157)
<u>Office of Juvenile Justice And Delinquency Prevention</u>			
16.540	Juvenile Justice and Delinquency Prevention Allocation to States Pass-through State of Alaska	EN 693060	18,713
<u>Bureau of Justice Statistics</u>			
16.550	State Justice Statistics Program for Statistical Analysis Centers		20,182
<u>National Institute of Justice</u>			
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants		63,503
<u>Bureau of Justice Assistance</u>			
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program Pass-through State of Alaska	EN 693059 RD 06343	54,702
Total Department of Justice			154,943
Department of Transportation			
20.701	University Transportation Centers Program		2,370,670
20.762	Research Grants Pass-through University of Hawaii	PO # Z894269	3,542
<u>Federal Aviation Administration</u>			
20.109	Air Transportation Centers of Excellence		121,482
<u>Federal Highway Administration</u>			
20.200	Highway Research and Development Program		521
Total Department of Transportation			2,496,215
Department of the Treasury			
21.000	Miscellaneous		304,752
General Services Administration			
39.009	Federal Citizen Information Center		9,601,748
National Aeronautics and Space Administration			
43.000	Miscellaneous		11,508,257
	Pass-through SETI Institute	06CA84A-08-002	24,413
	Pass-through SETI Institute	SUB NO. NNG06GH12G-06-002	206
	Pass-through University of California, Berkeley	1-0001080910	2,023
	Pass-through University of Colorado	1541927	(3,661)
	Pass-through University of Maryland	Z627201	89,875
	Pass-through University of New Hampshire	SUB 09-046	43,864
	Pass-through University of Pittsburgh	SUB 0008149	9,973
	Pass-through University of Washington	SUBCONTRACT #486547 PENDING	39,980
	Pass-through University of Washington	UW # 471380 MOD 1	8,532

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Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

FEDERAL PROGRAM TITLE

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Office of the Administrator</u>			
66.605	Performance Partnership Grants		
	Pass-through State of Alaska	RS 1890004 EN 1890501	\$ 39,139
	Pass-through State of Alaska	RS# 1880065 EN# 1880513	6,563
	Pass-through State of Alaska	RSA EN 1880506/ADN1880026	(450)
Total Environmental Protection Agency			440,337
 Department of Energy			
81.000	Miscellaneous		1,259,258
	Pass-through Battelle Pacific Northwest Lab	17560	262
	Pass-through Battelle Pacific Northwest Lab	NO. 9064	21,481
81.049	Office of Science Financial Assistance Program		25,694
81.089	Fossil Ener		

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Administration on Aging</u>			
93.048	Special Programs for the Aging - Title IV - and Title II - Discretionary Projects		\$ 265,655
<u>Health Resources and Services Administration</u>			
93.110	Maternal and Child Health Federal Consolidated Programs		
	Pass-through University of Arizona Tucson	PO Y432109 MOD 4	10,397
<u>Agency for Healthcare Research & Quality</u>			
93.226	Research on Healthcare Costs, Quality and Outcomes		24,196
<u>Substance Abuse and Mental Health Services Administration</u>			

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Homeland Security			
97.061	Centers for Homeland Security Pass-through University of Hawaii	Z884255	\$ 292,298
Agency for International Development			
98.001	USAID Forei		

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
10.578	WIC Grants to States (WGS) Pass-through State of Hawaii - Department of Health, Honolulu	ASO LOG NO. 08-092	\$ 13,366
<u>Forest Service</u>			
10.652	Forestry Research		77,128
10.664	Cooperative Forestry Assistance		11,130
<u>Rural Development</u>			
10.760	Water and Waste Disposal Systems for Rural Communities		9,741
10.769	Rural Business Enterprise Grants		63,973
Total Department of Agriculture			5,407,845
Department of Commerce			
11.000	Miscellaneous Pass-through Consortium for Oceanographic Research & Education	LETTER 09/17/08	13,695 15,064
<u>Economic Development Administration</u>			
11.303	Economic Development - Technical Assistance		110,073
<u>National Oceanic and Atmospheric Administration</u>			
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)		12,653
11.407	Interjurisdictional Fisheries Act of 1986		17,602
11.417	Sea Grant Support		351,213
11.419	Coastal Zone Management Administration Awards Pass-through State of Alaska	EN 1091500	2,411
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program Pass-through State of Alaska	EN 1185968	97,832
11.439	Marine Mammal Data Program		12,749
11.472	Unallied Science Program Pass-through North Pacific Research Board	503	38,133
	Pass-through North Pacific Research Board	NPRB AGREEMENT 826	33,115
	Pass-through North Pacific Research Board	PROJECT #615	24,798
11.473	Coastal Services Center Pass-through Alaska Ocean Observing System	H2100-12	26,519
11.481	Educational Partnership Program		164,896
Total Department of Commerce			955,892
Department of Defense			
<u>Defense Logistics Agency</u>			
12.002	Procurement Technical Assistance For Business Firms		307,339
<u>U.S. Army Material Command</u>			
12.431	Basic Scientific Research Pass-through Academy of Applied Science (AAS)	SBGRNT; 08-48,49,50 DATE 8.7.8	12,156
<u>Department of the Air Force</u>			
Office of Material Command			
12.800	Air Force Defense Research Sciences Program		19,468
Total Department of Defense			338,963

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Housing and Urban Development			
14.000	Miscellaneous Pass-through State of Alaska	ADN# 45-9-104/107 AHFC 09-001	\$ 20,065
<u>Office of Policy Development and Research</u>			
14.515	Alaska Native / Native Hawaiian Institutions Assisting Communities		1,758,549
<u>Office of Healthy Homes and Lead Hazard Control</u>			
14.901	Healthy Homes Demonstration Grants Pass-through Auburn University	08-HHP-374648-0001	5,500
Total Department of Housing and Urban Development			1,784,114
 Department of the Interior			
15.000	Miscellaneous		322,075
15.900	Disposal of Surplus Wildlife		3,548
<u>Bureau of Indian Affairs</u>			
15.114	Indian Education - Higher Education Grant Program		21,448
<u>Bureau of Land Management</u>			
15.224	Cultural Resource Management		1,368,345
<u>Fish and Wildlife Service</u>			
15.608	Fish and Wildlife Management Assistance	152870t890-w.06g15.11Uent Assistan95-9-104 TtL9d Control	

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
	Pass-through State of Alaska	LETTER DATED 9/08/08	\$ 89,020
	Pass-through State of Alaska	RSA 0590656	31,025
Total Institute of Museum and Library Services			259,028
National Science Foundation			
47.000	Miscellaneous		24,387
47.041	Engineering Grants		98,765
47.050	Geosciences		3,397,120
	Pass-through WGBH Educational Foundation	2008000779A	30,348
47.070	Computer and Information Science and Engineering		45,521
	Pass-through Carnegie Mellon University	1120822-184882	40,330
47.074	Biological Sciences		276,972
47.076	Education and Human Resources		2,157,133
47.078	Polar Programs		511,746
	Pass-through Barrow Arctic Science Consortium	PENDING	(38,528)
47.079	International Science and Engineering (OISE)		28,627
47.081	Office of Experimental Program to Stimulate Competitive Research		9,489
Total National Science Foundation			6,581,910
Small Business Administration			
59.009	Procurement Assistance to Small Businesses		73,479
59.037	Small Business Development Centers		578,612
Total Small Business Administration			652,091

Environmental Protection Agency

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University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Office of Vocational and Adult Education</u>			

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
84.367	Improving Teacher Quality State Grants Pass-through State of Alaska	HE 09.159.02	\$ 253,128
<u>Office of Educational Research and Improvement</u>			
84.305	Education Research, Development and Dissemination		414,218
Total Department of Education			14,472,013
Denali Commission			
90.100	Denali Commission Program		742,411
	Pass-through Alaska Public Broadcasting, Inc.	LETTER 9/15/06 EMAIL 10/01/08	42,660
	Pass-through Alaska Public Broadcasting, Inc.	LETTER AGREEMENT #174-05	20,287
	Pass-through State of Alaska	ADN 780101 EN786121	(684)
Total Denali Commission			804,674
Department of Health and Human Services			
93.000	Miscellaneous		5,014
<u>Administration on Aging</u>			
93.043	Special Programs for the Aging - Title III, Part D - Disease Prevention and Health Promotion Services Pass-through State of Alaska	EN 693076	31,177
<u>Health Resources and Services Administration</u>			
93.110	Maternal and Child Health Federal Consolidated Programs		12,705
	Pass-through Children's Hospital Los Angeles	AGREEMENT 228A1	45,082
	Pass-through State of Alaska	EN 693051	6,500
	Pass-through State of Alaska	EN 693085	45,522
93.178	Nursing Workforce Diversity		260,543
93.358	Advanced Education Nursing Traineeships		50,721
93.359	Nurse Education, Practice and Retention Grants Pass-through University of Washington	563270	4,016
93.822	Health Careers Opportunity Program		(1,101)
93.824	Basic / Core Area Health Education Centers		630,758
93.888	Specially Selected Health Projects		360,478
93.969	Geriatric Education Centers		466,515
<u>National Institutes of Health</u>			
93.279	Drug Abuse and Addiction Research Programs Pass-through Terra Nova Learning Systems	SUB - NIDA 1R44DA022907-01	1,083
93.389	National Center for Research Resources		114,262
<u>Centers for Disease Control and Prevention</u>			
93.283	Centers for Disease Control and Prevention - Investig		

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2009

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
<u>Centers for Medicare and Medicaid Services</u>			
93.768	Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities		
	Pass-through State of Alaska	EN 0683064	\$ (128)
	Pass-through State of Alaska	EN 693073 RD6312	104,335
	Pass-through State of Alaska	EN693096	88,438
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations		
	Pass-through State of Alaska	EN 693082	87,001
<u>Substance Abuse and Mental Health Services Administration</u>			
93.959	Block Grants for Prevention and Treatment of Substance Abuse		
	Pass-through State of Alaska	602-09-009	63,356
Total Department of Health and Human Services			3,904,636
Corporation for National and Community Service			
94.005	Learn and Serve America - Higher Education		
	Pass-through Princeton University	SUB # 00001316	12,641
	Pass-through Community College National Center for Community Engagement	06LHHAZ001	14,176
94.013	Volunteers in Service to America		1,454
	Pass-through Rural Alaska Community Action Program	06VSPAK011-08	2,589
Total Corporation for National and Community Service			30,860
Social Security Administration			
96.008	Social Security - Work Incentives Planning and Assistance Program		76,325
Department of Homeland Security			
97.044	Assistance to Firefighters Grant		360,000
Agency for International Development			
98.012	USAID Development Partnerships for University Cooperation and Development		
	Pass-through International Research Exchange Board (IREX)	FY08-AR03-U-ALASKA-01-MOD 1	65,591
TOTAL OTHER PROGRAMS			40,421,950
PUBLIC WORKS AND ECONOMIC DEVELOPMENT			
<u>Department of Commerce</u>			
	Economic Development Administration		
11.307	Economic Adjustment Assistance		538,236
WORKFORCE INVESTMENT ACT (WIA)			
<u>Department of Labor</u>			
	Employment Training Administration		
17.259	WIA Youth Activities		
	Pass-through State of Alaska	EN 796111	102,541

University of Alaska
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CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
17.260	WIA Dislocated Workers		\$ (5,643)
	Pass-through State of Alaska	ADN 7901121 45-09-0836	39,806
	Pass-through State of Alaska	ADN 790153 EN 796181	47,136
	Pass-through State of Alaska	EN 786145	1,223
	Pass-through State of Alaska	EN 796100	238,360
TOTAL WORKFORCE INVESTMENT ACT (WIA)			<u>423,423</u>
HIGHWAY PLANNING AND CONSTRUCTION			
<u>Department of Transportation</u>			
Federal Highway Administration			
20.205	Highway Planning and Construction		
	Pass-through State of Alaska	EN.2573008	<u>42,365</u>
SPECIAL EDUCATION			
<u>Department of Education</u>			
Office of Special Education and Rehabilitative Services			
84.027	Special Education - Grants to States		
	Pass-through State of Alaska	EN 0580526	1,211
	Pass-through State of Alaska	EN 0590562	94,840
	Pass-through State of Alaska	EN 0590563	15,000
TOTAL SPECIAL EDUCATION			<u>111,051</u>
TRIO			
<u>Department of Education</u>			
Office of Postsecondary Education			
84.042	TRIO - Student Support Services		622,517
84.044	TRIO - Talent Search		591,482
84.047	TRIO - Upward Bound		1,302,738
84.066	TRIO - Educational Opportunity Centers		609,852
TOTAL TRIO			<u>3,126,589</u>
MEDICAID			
<u>Department of Health and Human Services</u>			
Centers for Medicare and Medicaid Services			
93.778	Medical Assistance Program		
	Pass-through State of Alaska	EN 0693093	<u>188,779</u>
GRAND TOTAL			<u>\$ 209,281,217</u>

UNIVERSITY OF ALASKA
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2009

(1) General

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal financial assistance programs of the University of Alaska. The University of Alaska is a constitutionally created

(2)

(3)

(4) Subrecipients

<i>Federal Agency and Program</i>	<i>CFDA#</i>	<i>Expenditures</i>
Department of Agriculture		
Agricultural Research Basic and Applied Research	10.001	\$ 548,271
Grants for Agricultural Research, Special Research Grants	10.200	177,424
Alaska Native Serving and Native Hawaiian Serving Institutions		
Education Grants	10.228	22,663
Cooperative Extension Service	10.500	23,351
		771,709
Department of Commerce		

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UNIVERSITY OF ALASKA
 Schedule of Findings and Questioned Costs
 June 30, 2009

(1) Summary of Auditors' Results

Financial Statements:

Type of auditor's report issued

Unqualified

Internal control over financial reporting:

Material weakness(es) identified?

_____ yes X no

Significant deficiency(ies) identified that are not
 considered to be material weaknesses?

_____ yes X none reported

Noncompliance material to financial statements noted?

_____ yes X no

Federal Awards:

Internal control over major programs:

Material weakness(es) identified?

_____ yes X no

Significant deficiency(ies) identified that are not
 considered to be material weaknesses?

_____ yes X no

Type of auditor's report issued on compliance

Unqualified

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